



Customer
First National Bank and Trust of Beloit

Industry
Banking

Website
www.bankatfirstnational.com

Netwrix Auditor Applications
Netwrix Auditor

Customer Profile
First National Bank and Trust of Beloit (FNBT) is a family-run business founded over 130 years ago in the small town of Beloit, Wisconsin, U.S. While still serving the local communities, the bank has grown to become a leading financial services provider for consumers and businesses, with 17 full-service locations throughout southern Wisconsin and northern Illinois and \$1.1 billion in assets.

First National Bank and Trust of Beloit Gets Ready for OCC Audit in Just One Hour, instead of One Week



THE CHALLENGE

To ensure the bank's continuous compliance with OCC guidelines, the IT team needed to streamline monitoring of user activity, improve reporting and formalize internal review processes, as requested by auditors during the last check. Since the 9-person IT team has to support 300 users across 17 locations, they needed to automate tracking and reporting on activity in the network in order to lift the burden of ineffective manual processes. Staying compliant is critical for FNBT, because a failure to meet OCC auditors' requests could result in heavy fines, along with serious reputational damage.

NETWRIX SOLUTION

Larry Heidenreich, information security officer at FNBT, chose Netwrix Auditor because of its unified platform, which enables him to conveniently monitor all systems from one place. On top of that, he valued the detailed reports on Active Directory and Group Policy changes and the easy-to-configure alerts. Larry highlighted the following results he has achieved using Netwrix Auditor:

Validation of internal security policies. Human-readable change summaries enable the bank to ensure that all activity across the IT environment accords with internal policies. Larry can check each modification, such as a change to group membership or the account policy, to see whether there is a corresponding ticket in the internal ticketing system, and thereby quickly determine whether the action was authorized or represents a policy violation. Moreover, Netwrix Auditor reports enable him to easily spot disabled accounts that should be removed from Active Directory, as required by OCC and internal policies.

Improved reporting for OCC. Now Larry needs just 15 minutes or less to review reports on activity across the network and ensure the bank's day-to-day compliance. Netwrix Auditor has also significantly simplified audit preparations. "There are so many different types of reports auditors ask for, which we can pull from one platform now: from Group Policy changes to activity across SQL. Filling out the checklist used to take a week — today it went down to one hour," Larry said.

Fast investigations of incidents. FNBT actively leverages the software to quickly find the root causes of operational issues and minimize the risk of business downtime. Larry explained, "When one of the IT team members accidentally removed everyone from the Remote Desktop Users group and nobody could access remote servers, I ran a report on all Active Directory changes and spotted the issue in a matter of minutes, while without the software it could have taken hours. We also use the application for Exchange to detect problems there quickly, since it is critical to make sure that customers can always reach out to the bank."

KEY BENEFITS

- Streamlined OCC compliance
- Centralized control over the IT environment
- Reduced the risk of business downtime

[Learn more about Netwrix Auditor](#)

Netwrix Auditor is the main solution we use for staying compliant with OCC requirements. It is so easy to monitor all the activity we are obliged to keep track of on a regular basis. Getting ready for audit checks used to take one week; now it is a matter of one hour. Plus, we get all the reports for auditors from one place, instead of having 5-6 different apps to do it. If something breaks, I can also go to Netwrix Auditor and see what has happened, without spending hours if not days on investigations. It is a lifesaver indeed.

Larry Heidenreich,
Information Security Officer,
First National Bank and Trust of Beloit