



Forreston State Bank Ensures FFIEC and GLBA Compliance while Saving Hours of Work



Customer

Forreston State Bank

Industry

Banking

Website

www.fsbil.com

Netwrix Auditor Applications

Netwrix Auditor for Active Directory, Windows Server and Windows File Servers

Customer Profile

Forreston State Bank is a local financial institution founded in 1887 in Forreston, Illinois, U.S. It provides personal and business banking services, including deposits, home loans, card services and online solutions. For over a century, Forreston State Bank has been building deep, lifelong customer relationships and providing an environment where customers can bank with their neighbors, realizing that the business begins and ends with people.

THE CHALLENGE

Forreston State Bank has to ensure compliance with Federal Financial Institutions Examination Council (FFIEC) standards that govern GLBA requirements. The certification consists of several internal and external audits during each 18-month cycle. The IT team, which comprises just one full-time employee and several part-time interns, needed to optimize the cumbersome process of preparing for these audits. To satisfy all provisions, they needed to automate monitoring of user activity across the network. Particular concerns were establishing control over privileged accounts and gaining visibility into actions around critical data, such as instructions for banking personnel and customers' personally identifiable information (PII). Failure to comply with the requirements would damage the bank's reputation and make it subject to fines.

NETWRIX SOLUTION

IT groups from several other banks recommended Netwrix Auditor to Christopher H. Cronau, senior vice president of Forreston State Bank. After a thorough proof-of-concept, it was clear for him that the software meets all his needs. "I am not IT proficient, since I am a banker who migrated to IT, so it was important to me that the software is so easy in use. I set it up and had it running within just a couple of days. And I was extremely pleased with the understandable format of the reports and the ability to automate delivery of the most important ones," Christopher said. With Netwrix Auditor, Forreston State Bank obtained the following benefits:

Surveillance of privileged accounts. Now Christopher can closely watch privileged users and detect privilege escalation in time to prevent damage. "I receive an alert if someone changes the membership of a security group, including situations when a user is added to the Domain Admins group. This enables me to make sure that no one creates malicious accounts there or gains excessive privileges. It is great that now I can monitor them closely, for both security and compliance reasons," Christopher highlighted.

Enhanced control over file servers. Netwrix Auditor summarizes all changes made across file servers (including who made the change and when), and keeps Christopher informed about failed and successful data access events. As a result, actions around the data are monitored thoroughly. Christopher added, "The auditors advised us to become proactive in restricting file permissions. Netwrix Auditor easily solved this problem: With one of the reports, I spotted permissions to infrequently accessed files and revoked all excessive access rights."

Improved reporting for audits. The software provides Christopher with a complete audit trail for compliance checks. "The information delivered by Netwrix Auditor enables us to demonstrate to auditors that we are monitoring our network appropriately. It provides all important details. Since there is no need to manually create reports for the consistent audit trail, the software saves me the entire workday every week," he summarized.

KEY BENEFITS

- Continuous compliance
- Improved security
- Time savings on audit preparations

[Learn more about Netwrix Auditor](#)



Netwrix Auditor gives me insight about our network quickly and in a clear format. Now, I can see exactly who did what, when and where, and provide proper reports to the auditors. Netwrix Auditor saves me around eight hours a week just for regular auditing processes and keeps our bank in continuous compliance with FFIEC and GLBA requirements.

Christopher H. Cronau, Senior Vice President, Forreston State Bank