

Netwrix Auditor for NetApp Quick-Start Guide

Version: 9.6
6/15/2018



Legal Notice

The information in this publication is furnished for information use only, and does not constitute a commitment from Netwrix Corporation of any features or functions, as this publication may describe features or functionality not applicable to the product release or version you are using. Netwrix makes no representations or warranties about the Software beyond what is provided in the License Agreement. Netwrix Corporation assumes no responsibility or liability for the accuracy of the information presented, which is subject to change without notice. If you believe there is an error in this publication, please report it to us in writing.

Netwrix is a registered trademark of Netwrix Corporation. The Netwrix logo and all other Netwrix product or service names and slogans are registered trademarks or trademarks of Netwrix Corporation. Microsoft, Active Directory, Exchange, Exchange Online, Office 365, SharePoint, SQL Server, Windows, and Windows Server are either registered trademarks or trademarks of Microsoft Corporation in the United States and/or other countries. All other trademarks and registered trademarks are property of their respective owners.

Disclaimers

This document may contain information regarding the use and installation of non-Netwrix products. Please note that this information is provided as a courtesy to assist you. While Netwrix tries to ensure that this information accurately reflects the information provided by the supplier, please refer to the materials provided with any non-Netwrix product and contact the supplier for confirmation. Netwrix Corporation assumes no responsibility or liability for incorrect or incomplete information provided about non-Netwrix products.

© 2018 Netwrix Corporation.

All rights reserved.

Table of Contents

1. Introduction	5
1.1. Netwrix Auditor Overview	5
2. Prerequisites and System Requirements	6
2.1. Supported Data Sources	6
2.2. Requirements to Install Netwrix Auditor	6
2.2.1. Hardware Requirements	6
2.2.2. Software Requirements	7
3. Review Components Checklist	8
3.1. Configure Data Collecting Account	8
4. Configure NetApp Filer for Monitoring	10
4.1. Configure NetApp Clustered Data ONTAP 8 and ONTAP 9 for Monitoring	10
4.1.1. Prerequisites	10
4.1.2. Configure ONTAPI Web Access	11
4.1.3. Configure Firewall Policy	12
4.1.4. Configure Event Categories and Log	13
5. Install the Product	16
6. Monitoring Plans	18
6.1. Create a New Plan	18
6.1.1. New Monitoring Plan (Data Source)	18
6.1.2. New Monitoring Plan	18
6.1.3. Default SQL Server Instance	19
6.1.4. Audit Database	20
6.1.5. Notifications	20
6.1.6. Recipients	21
6.1.7. Monitoring Plan Summary	21
6.2. Add Items for Monitoring	21
6.2.1. NetApp	21
7. Make Test Changes	24

8. See How Netwrix Auditor Enables Complete Visibility	25
8.1. Review an Activity Summary	26
8.2. Review File Servers Overview	27
8.3. Review the All File Server Activity Report	28
8.4. Browse Data with Intelligence Search	29
9. Related Documentation	33

1. Introduction

This guide is intended for the first-time users of Netwrix Auditor for NetApp. It can be used for evaluation purposes, therefore, it is recommended to read it sequentially, and follow the instructions in the order they are provided. After reading this guide you will be able to:

- Install and configure Netwrix Auditor
- Create a monitoring plan to start auditing NetApp appliances
- Launch data collection
- See how Netwrix Auditor enables complete visibility

NOTE: This guide only covers the basic configuration and usage options for auditing NetApp appliances with Netwrix Auditor. For advanced installation scenarios and configuration options, as well as for information on various reporting possibilities and other product features, refer to:

- [Netwrix Auditor Installation and Configuration Guide](#)
- [Netwrix Auditor Administration Guide](#)
- [Netwrix Auditor Intelligence Guide](#)

1.1. Netwrix Auditor Overview

Netwrix Auditor is a visibility platform for user behavior analysis and risk mitigation that enables control over changes, configurations and access in hybrid IT environments to protect data regardless of its location. The platform provides security analytics to detect anomalies in user behavior and investigate threat patterns before a data breach occurs.

Netwrix Auditor includes applications for Active Directory, Azure AD, Exchange, Office 365, Windows file servers, EMC storage devices, NetApp filer appliances, SharePoint, Oracle Database, SQL Server, VMware, and Windows Server. Empowered with a RESTful API and user activity video recording, the platform delivers visibility and control across all of your on-premises or cloud-based IT systems in a unified way.

Major benefits:

- Detect insider threats—on premises and in the cloud
- Pass compliance audits with less effort and expense
- Increase productivity of IT security and operations teams

Netwrix Auditor for NetApp detects and reports on all changes made to NetApp Filer appliances both in cluster- and 7-modes, including modifications of files, folders, shares and permissions, as well as failed and successful access attempts.

2. Prerequisites and System Requirements

This section lists the requirements for the systems that are going to be audited with Netwrix Auditor, and for the computer where the product is going to be installed.

2.1. Supported Data Sources

The table below lists systems that can be monitored with Netwrix Auditor for NetApp:

Data source	Supported Versions
NetApp	<ul style="list-style-type: none"> NetApp Data ONTAP 7 (CIFS configuration only) NetApp Data ONTAP 8 in 7-mode (CIFS configuration only) NetApp Clustered Data ONTAP 8.2.1 – 8.2.3, 8.3, 8.3.1, 8.3.2 (CIFS configuration only) NetApp ONTAP 9.0, 9.1 (CIFS configuration only) NetApp ONTAP 9.2, 9.3 (CIFS configuration only)

2.2. Requirements to Install Netwrix Auditor

This section provides the requirements for the computer where Netwrix Auditor is going to be installed. Refer to the following sections for detailed information:

- [Hardware Requirements](#)
- [Software Requirements](#)

2.2.1. Hardware Requirements

Review the hardware requirements for Netwrix Auditor installation.

The metrics provided in this section are valid for clean installation on a server without any additional roles or third part applications installed on it. The use of virtual machine is recommended.

The hardware configuration depends on the size of your monitored environment and the number of activity records processed by the product per day. Below you can find rough estimations, calculated for evaluation of Netwrix Auditor for NetApp. Refer to [Netwrix Auditor Installation and Configuration Guide](#) for complete information on the Netwrix Auditor hardware requirements.

Hardware component	Starter, evaluation, or small environment
Processor	2 cores
RAM	4 GB
Disk space	100 GB—System drive 100 GB—Data drive (Long-Term Archive and SQL Server)
Screen resolution	Minimum 1280 x 1024 Recommended 1920 x 1080 or higher

2.2.2. Software Requirements

The table below lists the software requirements for the Netwrix Auditor installation:

Component	Requirements
Operating system	<ul style="list-style-type: none"> Windows Desktop OS (64-bit): Windows 7 SP1, Windows 8.1, and Windows 10 Windows Server OS: Windows Server 2008 R2 SP1, Windows Server 2012/2012 R2, and Windows Server 2016
.NET Framework	<ul style="list-style-type: none"> .NET Framework 3.5 SP1.
Installer	<ul style="list-style-type: none"> Windows Installer 3.1 and above

3. Review Components Checklist

To speed up the evaluation process, Netwrix recommends you to ensure that the following services and components are up and running prior to the Netwrix Auditor installation.

Service or component	Recommendations
Network and target systems or servers that work as your data sources	Test connectivity to your data source. Make sure you can access it by its NetBIOS and FQDN name from the computer where you intend to install Netwrix Auditor—use the nslookup command-line tool to look up domain names. Domain controllers must be accessible as well.
SQL Server 2014 with SSRS (optional step)	<p>Although Netwrix Auditor provides a convenient interface for downloading SQL Server 2014 Express right from Netwrix Auditor, it is recommended to deploy SQL Server instance in advance. Test your SQL Server connectivity.</p> <p>NOTE: Netwrix Auditor provides an option to verify SSRS settings right in the Netwrix Auditor.</p>
Test account	<p>Netwrix recommends you to create a special account with extensive privileges. This account should have sufficient permissions to:</p> <ul style="list-style-type: none"> • Collect audit data. See Configure Data Collecting Account for more information. • Access data stored in the SQL Server instance: <ul style="list-style-type: none"> • The account must be assigned the Database owner (db_owner) role and the dbcreator server role. • The account must be assigned the Content Manager role on the SSRS Home folder. • Make test changes in your environment.

3.1. Configure Data Collecting Account

This service account is specified on the monitoring plan creation and is used to collect audit data from the data source items. To ensure successful data collection, Netwrix recommends creating a special service account in advance. The account must comply with the following requirements depending on the data source.

NOTE: The information in this section is outside the quick-start guide scope and is provided for reference only. See [Netwrix Auditor Installation and Configuration Guide](#) for detailed instructions on how to configure your Data Processing Account.

Data source

Rights and permissions

NetApp

On the target server:

- A member of the local **Administrators** group
- The **Read** permissions (resultant set) on the audited shared folders
- The **Read** permissions (resultant set) on the audit logs folder and its contents and **Delete** permissions (resultant set) on the contents of this folder
- To connect to **NetApp Data ONTAP 7** or **Data ONTAP 8 in 7-mode**, an account must have the following capabilities:
 - login-http-admin
 - api-vfiler-list-info
 - api-volume-get-root-name
 - api-system-cli
 - api-options-get
 - cli-cifs
- To connect to **NetApp Clustered Data ONTAP 8** or **ONTAP 9**, an account must be assigned a custom role (e.g., fsa_role) on SVM that has the following capabilities with access query levels:
 - version readonly
 - volume readonly
 - vserver audit readonly
 - vserver audit rotate-log all
 - vserver cifs readonly

NOTE: You can also assign the builtin **vsadmin** role.

If you want to authenticate with AD user account, you must enable it to access SVM through ONTAPI. The credentials are case sensitive.

4. Configure NetApp Filer for Monitoring

You can configure your file shares for monitoring in one of the following ways:

- Automatically when creating a monitoring plan

NOTE: For NetApp Data ONTAP 7 and 8 in 7-mode, configure audit automatically. For NetApp Clustered Data ONTAP 8 or ONTAP 9 only file share audit settings can be configured automatically. See [Configure NetApp Clustered Data ONTAP 8 and ONTAP 9 for Monitoring](#) for more information.

- Manually. See [Netwrix Auditor Installation and Configuration Guide](#) for more information.

4.1. Configure NetApp Clustered Data ONTAP 8 and ONTAP 9 for Monitoring

To configure Clustered Data ONTAP 8 and ONTAP 9 for monitoring, perform the following procedures:

- [Prerequisites](#)
- [Configure ONTAPI Web Access](#)
- [Configure Firewall Policy](#)
- [Configure Event Categories and Log](#)

4.1.1. Prerequisites

Perform the steps below before proceeding with audit configuration:

1. Configure CIFS server and make sure it functions properly.

NOTE: NFS file shares are not supported.

2. Configure System Access Control List (SACL) on your file share.
3. Set the **Security Style** for **Volume** or **Qtree** where the audited file shares are located to the *"ntfs"* or *"mixed"*.
4. Configure audit manually. For 8.3, review the **Auditing NAS events on SVMs with FlexVol volumes** section in [Clustered Data ONTAP® 8.3 File Access Management Guide for CIFS](#).

NOTE: The current version of Netwrix Auditor does not support auditing of Infinite Volumes.

4.1.2. Configure ONTAPI Web Access

Netwrix Auditor uses ONTAPI to obtain the current CIFS audit configuration and force the audit data flush from the internal filer format to an MS Event Viewer compatible format. Netwrix Auditor supports both the SSL and non-SSL HTTP access, trying HTTPS first, and falling back to HTTP if it is unavailable.

1. Navigate to your cluster command prompt through the **SSH/Telnet** connection.
2. Log in as a cluster administrator and review your current web access settings. Make sure that External Web Services are allowed. For example:

```
cluster1::> system services web show
      External Web Services: true
                        Status: online
                        HTTP Protocol Port: 80
                        HTTPS Protocol Port: 443
                        TLSv1 Enabled: true
                        SSLv3 Enabled: true
                        SSLv2 Enabled: false
```

3. Enable ONTAPI access on the SVM where CIFS server is set up and configured. The example command output shows correct web access settings where `vs1` is your SVM name.

```
cluster1::> vserver services web show -vserver vs1
Vserver      Type      Service      Description      Enabled
-----
vs1          data      ontapi       Remote Administrative API Support      true
```

4. Enable **HTTP/HTTPS** access. For example:

```
cluster1::> vserver services web modify -vserver vs1 -name ontapi -enabled true
```

5. Enable only **SSL** access (HTTPS in Netwrix Auditor). For example:

```
cluster1::> vserver services web modify -vserver vs1 -name ontapi -enabled true -ssl-only true
```

6. Make sure that the builtin **vsadmin** role or a custom role (e.g., `fsa_role`) assigned to your account specified for data collection can access ONTAPI. For example:

```
cluster2::> vserver services web access show -vserver vs2
Vserver      Type      Service Name      Role
-----
vs2          data      ontapi            fsa_role
vs2          data      ontapi            vsadmin
vs2          data      ontapi            vsadmin-protocol
vs2          data      ontapi            vsadmin-readonly
```

```
cluster2::> vserver services web access show -vserver vs2
vs2                data      ontapi      vsadmin-volume
5 entries were displayed.
```

4.1.3. Configure Firewall Policy

Configure firewall to make file shares and Clustered Data ONTAP HTTP/HTTPS ports accessible from the computer where Netrix Auditor Server is installed. Your firewall configuration depends on network settings and security policies in your organization. Below is an example of configuration:

1. Navigate to your cluster command prompt through the **SSH/Telnet** connection.
2. Log in as a cluster administrator and review your current firewall configuration. For example:

```
cluster1::> system services firewall show
Node           Enabled      Logging
-----
cluster1-01    true        false
```

3. Create firewall policy or edit existing policy to allow HTTP/HTTPS (note that modifying a policy you may overwrite some settings). For example:

To...	Execute...
-------	------------

NetApp Clustered Data ONTAP 8.2

Create a policy	<pre>cluster1::> system services firewall policy create -policy poll -service http -vserver vs1 -action allow -ip-list 192.168.1.0/24 cluster1::> system services firewall policy create -policy poll -service https -vserver vs1 -action allow -ip-list 192.168.1.0/24</pre>
-----------------	--

Modify existing policy	<pre>cluster1::> system services firewall policy modify -policy poll -service http -vserver vs1 -action allow -ip-list 192.168.1.0/24 cluster1::> system services firewall policy modify -policy poll -service https -vserver vs1 -action allow -ip-list 192.168.1.0/24</pre>
------------------------	--

NetApp Clustered Data ONTAP 8.3, ONTAP 9.0, and ONTAP 9.1

Create a policy	<pre>cluster1::> system services firewall policy create -policy poll -service http -vserver vs1 -allow-list 192.168.1.0/24 cluster1::> system services firewall policy create -policy poll -service https -vserver vs1 -allow-list 192.168.1.0/24</pre>
-----------------	--

To...	Execute...
Modify existing policy	<pre>cluster1::> system services firewall policy modify -policy poll -service http -vserver vs1 -allow-list 192.168.1.0/24 cluster1::> system services firewall policy modify -policy poll -service https -vserver vs1 -allow-list 192.168.1.0/24</pre>

where `poll` is your Firewall policy name and `192.168.1.0/24` is your subnet where Netrix Auditor Server resides.

4. Apply the firewall policy to a LIF.

```
cluster1::>network interface modify -vserver vs1 -lif vs1-cifs-lif1 -firewall-policy poll
```

To verify the policy was applied correctly, execute the following:

```
cluster1::>network interface show -fields -firewall-policy
```

4.1.4. Configure Event Categories and Log

Perform the following procedures to configure audit:

- [To configure auditing state, event categories and log](#)
- [To configure logs retention period](#)

To configure auditing state, event categories and log

Configure audit settings in the context of Cluster or Storage Virtual Machine. All examples in the procedure below apply to SVM, to execute commands in the context of Cluster, add `-vserver name`, where `name` is your server name.

1. Navigate to command prompt through the **SSH/Telnet** connection.
2. Log in as a cluster administrator and switch to the context of SVM from the cluster. For example to switch to the SVM called `vs1`:

```
cluster1::> vserver context -vserver vs1
```

After a switch, you will be in the context of SVM:

```
vs1::>
```

3. Create and enable audit. For more information on audit configuration, refer to NetApp documentation. For example:

To...	Execute...
Create audit	<pre>vs1::> vserver audit create -destination <path to the volume></pre> <p>In the example above, the <code>vserver audit create -destination /audit</code> command executed on the <code>vs1</code> SVM creates and enables audit on the volume <code>/audit</code>.</p> <p>NOTE: Netwrix Auditor accesses audit logs via file shares. Make sure the volume you specified is mounted on SVM and shared (e.g., <code>audit\$</code> is a share name and its path is <code>/audit</code>).</p>
Enable audit	<pre>vs1::> vserver audit enable</pre>

4. Review your audit settings. For example, on ONTAPI 8.3 the default audit is configured as follows:

```
vs1::> vserver audit show -instance
      Auditing State: true
      Log Destination Path: /audit
      Categories of Events to Audit: file-ops, cifs-logon-logoff
      Log Format: evtX
      Log File Size Limit: 100MB
      Log Rotation Schedule: Month: -
      Log Rotation Schedule: Day of Week: -
      Log Rotation Schedule: Day: -
      Log Rotation Schedule: Hour: -
      Log Rotation Schedule: Minute: -
      Rotation Schedules: -
      Log Files Rotation Limit: 0
```

5. Check the following options:

Option	Setting
Auditing State	true
Categories of Events to Audit	file-ops
	NOTE: Only required if you use Clustered Data ONTAP 8.3, ONTAP 9.0, and ONTAP 9.1. You cannot select event categories if you use Clustered Data ONTAP 8.2.
Log Format	"XML" or "EVTX"

6. Modify the log file size limit—set to 300 MB. Execute:

```
vs1::> vserver audit modify -rotate-size 300MB
```

300MB is the recommended maximum log size proceeding from performance evaluations.

7. After configuration, double-check your settings.

```
vs1::> vserver audit show -instance
```

```
      Auditing State: true
      Log Destination Path: /audit
      Categories of Events to Audit: file-ops, cifs-logon-logoff
      Log Format: evtX
      Log File Size Limit: 300MB
      Log Rotation Schedule: Month: -
      Log Rotation Schedule: Day of Week: -
      Log Rotation Schedule: Day: -
      Log Rotation Schedule: Hour: -
      Log Rotation Schedule: Minute: -
      Rotation Schedules: -
      Log Files Rotation Limit: 0
```

To configure logs retention period

1. On the computer where Netwrix Auditor Server resides, open **Registry Editor**: navigate to **Start** → **Run** and type "regedit".
2. Navigate to **HKEY_LOCAL_MACHINE** → **SOFTWARE** → **Wow6432Node** → **Netwrix Auditor** → **File Server Change Reporter**.
3. In the right-pane, right-click and select **New** → **DWORD (32-bit Value)**.

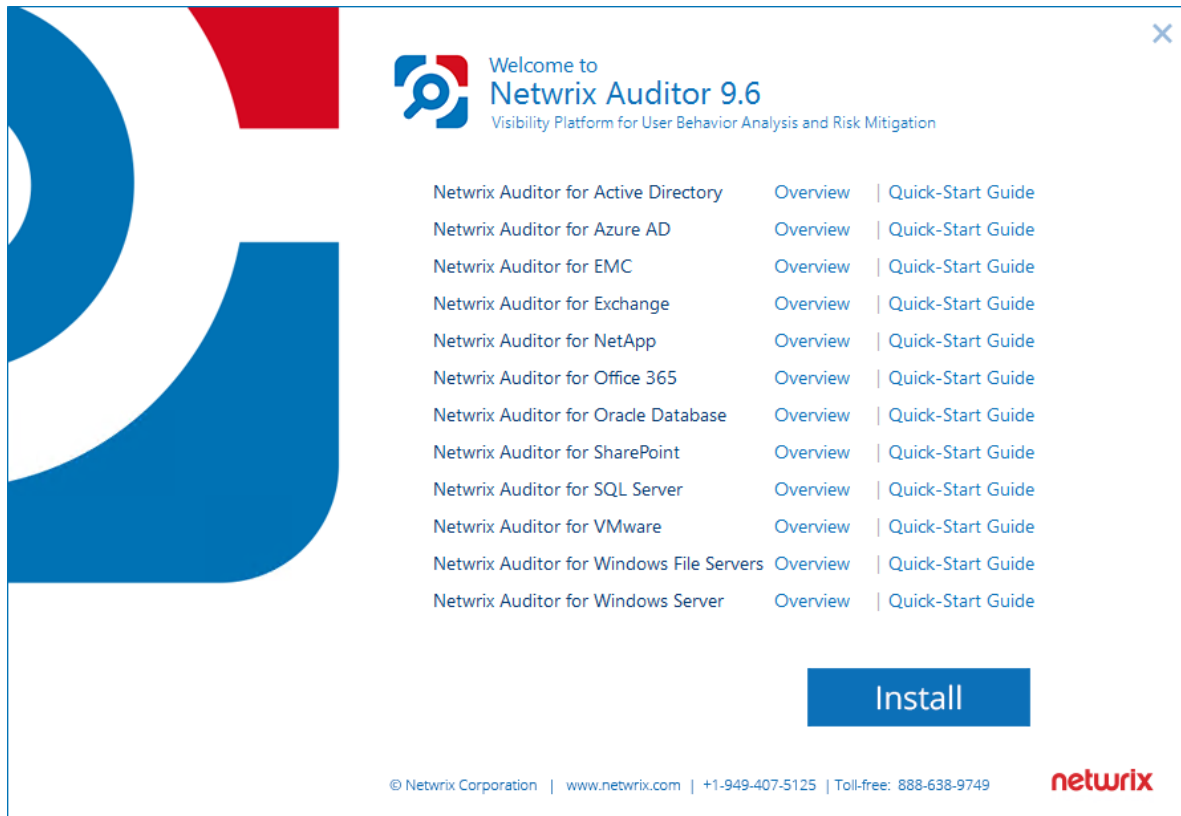
NOTE: For the backup logs retention functionality to work properly, you need to specify the **CleanAutoBackupLogs** name for the newly created registry value.

4. Double-click **CleanAutoBackupLogs**. The **Edit DWORD Value** dialog will open.
5. This value defines the time period (in hours) after which security event logs archives will be automatically deleted. By default, it is set to "0" (decimal). Modify this value, if necessary, and click **OK** to save the changes.
6. **NOTE:** If the **CleanAutoBackupLogs** registry value is set to "0", you will have to remove the old logs manually, or you may run out of space on your hard drive.

5. Install the Product

To install Netrix Auditor

1. Download Netrix Auditor 9.6 from [Netrix website](#).
2. Unpack the installation package. The following window will be displayed on successful operation completion:



3. Follow the instructions of the setup wizard. When prompted, accept the license agreement.
4. On the **Select Installation Type** step, select **Full installation**.

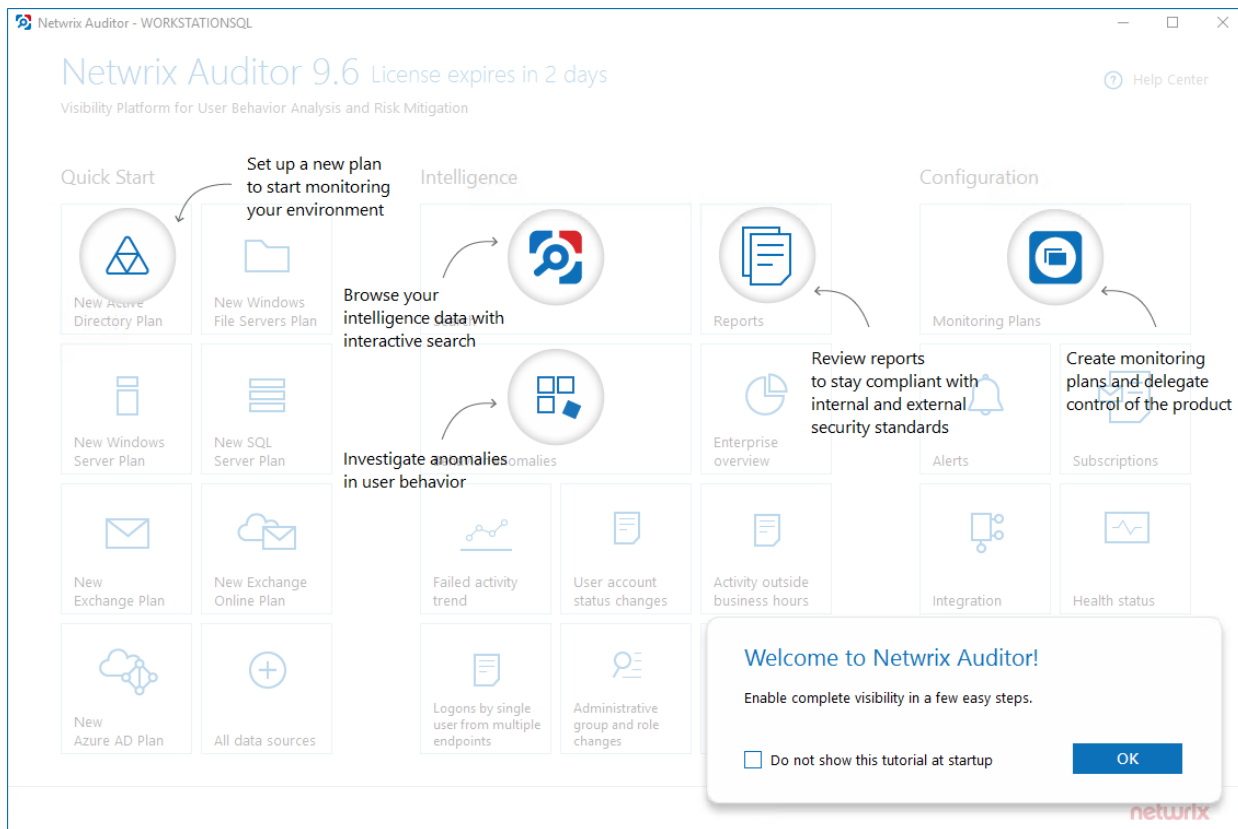
NOTE: See [Netrix AuditorServer and Client](#) for details.

5. On the **Destination Folder** step, specify the installation folder.
6. On the **Netrix Customer Experience Program** step, you are invited to take part in the Netrix Customer Experience Program. It is optional on your part to help Netrix improve the quality, reliability, and performance of Netrix products and services. If you accept, Netrix collects statistical information on how the Licensee uses the product in accordance with applicable law. Select **Skip** if you do not want to participate in the program.

NOTE: You can always opt-out of the Netwrix Customer Experience Program later. See [Netwrix Auditor Online Helpcenter](#) for instructions on how to cancel participation in the program.

7. Click **Install**.

After a successful installation, Netwrix Auditor shortcut will be added to the **Start** menu/screen and the product will start.



6. Monitoring Plans

To start auditing your environment and analyzing user behavior with Netwrix Auditor, create a monitoring plan. All your monitoring plans are listed in the **Monitoring Plans** section.

A monitoring plan defines your data sources and general data collection, notification, and storage settings. To start collecting data, choose a data source, such as NetApp, and add items to its scope. Item is a specific object you want to audit. All data sources and items in your plan share common settings so that you can supervise and manage several data collections as one.

On a high level, you should perform the following steps to start monitoring your environment:

1. Specify a data source and create a monitoring plan with a wizard. See [Create a New Plan](#) for more information.
2. Add items for monitoring. Netwrix Auditor does not collect data until you specify an item. See [Add Items for Monitoring](#) for more information.

6.1. Create a New Plan

On the main Netwrix Auditor page, click the **All data sources** tile in the **Quick Start** section.

The wizard that appears will help you set up a new plan in a few easy steps:

- Choose a data source for monitoring
- Specify an account for collecting data
- Specify default SQL Server instance and configure the Audit Database to store your data
- Configure notification settings
- Specify the recipients who will receive daily activity summaries
- Specify a plan name

6.1.1. New Monitoring Plan (Data Source)

Specify the **NetApp** tile.

6.1.2. New Monitoring Plan

Option	Description
Specify the account for collecting data	Provide a user name and a password for the account that Netwrix Auditor will use to collect data. By default, the user name is prepopulated with your

Option	Description
	<p>account name.</p> <p>Make sure the account has sufficient permissions to collect data. For a full list of the rights and permissions, and instructions on how to configure them, refer to Netwrix Auditor Installation and Configuration Guide. Netwrix recommends creating a special service account with extended permissions.</p>
Configure audit settings	<p>Select Adjust audit settings automatically. In this case, Netwrix Auditor will continually check and enforce the relevant audit policies.</p> <p>NOTE: Select this option if you want to audit file shares on NetApp Data ONTAP 7 and 8 in 7-mode. For NetApp Clustered Data ONTAP 8 and ONTAP 9, only audit settings for file shares can be configured automatically, other settings must be applied manually.</p> <p>For a full list of audit settings and instructions on how to configure them manually, refer to Netwrix Auditor Installation and Configuration Guide.</p>

6.1.3. Default SQL Server Instance

To provide search, alerting, and report capabilities, Netwrix Auditor has to store security intelligence data in the Audit Database hosted on a SQL Server instance. Make sure the **Disable security intelligence and make data available only in activity summaries** checkbox is cleared.

Specify one of the following options:

- **Install a new instance of Microsoft SQL Server Express automatically**—Select if you want Netwrix Auditor to download and configure SQL Server 2014 Express with Advanced Services.
- **Use an existing SQL Server instance**—Select to continue using an installed SQL Server instance. Netwrix Auditor detects local SQL Server instance automatically and prepopulates the fields. Complete the following fields:

Option	Description
SQL Server instance	Specify the name of the SQL Server instance to store audit data.
Authentication	<p>Select the authentication type you want to use to connect to the SQL Server instance:</p> <ul style="list-style-type: none"> • Windows authentication • SQL Server authentication
User name	Specify the account to be used to connect to the SQL Server

Option	Description
	instance.
	NOTE: This account must be granted the database owner (db_owner) role and the dbcreator server role. See Configure Audit Database Account for more information.
Password	Enter a password.

6.1.4. Audit Database

Specify a database name to store security intelligence data for your monitoring plan, or disable this functionality. Make sure the **Disable security intelligence and make data available only in activity summaries** checkbox is cleared and **Use default SQL Server settings** is checked.

Netwrix Auditor will create a database on the SQL Server instance you specify.

6.1.5. Notifications

When you create the first monitoring plan, you are prompted to specify the email settings that will be used for activity and health summaries, reports and alerts delivery. For the monitoring plans that follow, Netwrix Auditor will automatically detects SMTP settings; however, for your first plan you should provide them manually. Complete the following fields:

Option	Description
SMTP server	Enter your SMTP server address. It can be your company's Exchange server or any public mail server (e.g., Gmail, Yahoo).
Port number	Specify your SMTP server port number.
Sender address	Enter the address that will appear in the From field.
	NOTE: It is recommended to click Send Test Email . The system will send a test message to the specified email address and inform you if any problems are detected.
SMTP authentication	Select this checkbox if your mail server requires the SMTP authentication.
User name	Enter a user name for the SMTP authentication.
Password	Enter a password for the SMTP authentication.

Option	Description
Use Secure Sockets Layer encrypted connection (SSL)	Select this checkbox if your SMTP server requires SSL to be enabled.
Use implicit SSL authentication	Select this checkbox if the implicit SSL mode is used, which means that an SSL connection is established before any meaningful data is sent.
Enforce certificate validation to ensure security	Select this checkbox if you want to verify security certificate on every email transmission.

6.1.6. Recipients

Specify who will receive daily activity summaries that list changes that occurred for a given time period. Click **Add Recipient** and enter your email.

NOTE: It is recommended to click **Send Test Email**. The system will send a test message to the specified email address and inform you if any problems are detected.

6.1.7. Monitoring Plan Summary

Your plan is almost complete. Provide a name and description for your monitoring plan. Make sure the **Add item now** checkbox is selected. In this case, on the next step, you will be prompted to add an item for monitoring.

NOTE: Netwrix Auditor for Oracle Database incompatible with Oracle Data Access Components for .Net Framework 4.0 and above. Check that the .Net Framework 3.5 feature is enabled prior to downloading prerequisites.

6.2. Add Items for Monitoring

Once you completed monitoring plan wizard and specified data sources, add items for monitoring.

Each data source has a dedicated item type. Netwrix Auditor automatically suggests item types associated with your data source. Select the **NetApp** item.

6.2.1. NetApp

Complete the following fields:

Option	Description
General	
Specify NetApp file server	Provide a server name by entering its FQDN, NETBIOS or IPv4 address. You can click Browse to select a computer from the list of computers in your network.
File share UNC path to audit logs	Select one of the following: <ul style="list-style-type: none"> • Detect automatically—If selected, a shared resource will be detected automatically. • Use this path—UNC path to the file share located on a NetApp Filer with event log files (e.g., \\CORP\ETC\$\log\).
Specify the account for collecting data	Select the account that will be used to collect data for this item.
ONTAPI	
Specify protocol for accessing ONTAPI	Select one of the following: <ul style="list-style-type: none"> • Detect automatically—If selected, a connection protocol will be detected automatically. • HTTP • HTTPS <p>NOTE: Refer to Netrix Auditor Installation and Configuration Guide for detailed instructions on how to enable HTTP or HTTPS admin access.</p>
Specify management interface	Select management interface to connect to ONTAPI. If you want to use custom management interface for ONTAPI, select Custom and provide a server name by entering its FQDN, NETBIOS or IP address.
Specify account for connecting to ONTAPI	Select an account to connect to NetApp and collect data through ONTAPI. If you want to use a specific account (other than the one you specified on the General tab), select Custom and enter credentials. The credentials are case sensitive. <p>Take into consideration that even if a custom account is specified, the account selected on the General tab must be a member of the Builtin\Administrators group and have sufficient permissions to access audit logs shared folder and audited shares.</p>

Option	Description
<p>NOTE: See Netwrix Auditor Installation and Configuration Guide for more information on required rights and permissions.</p>	
<p style="text-align: center;">Scope</p>	
Monitor the following shares	If you want to limit your auditing scope by several shares, click Add under the Specific file shares and select shared folders. Otherwise, all file shares (except hidden) hosted on this server will be audited.

7. Make Test Changes

Now that the product has collected a snapshot of the data source's current configuration state, you can make test changes to see how they will be reported by Netwrix Auditor.

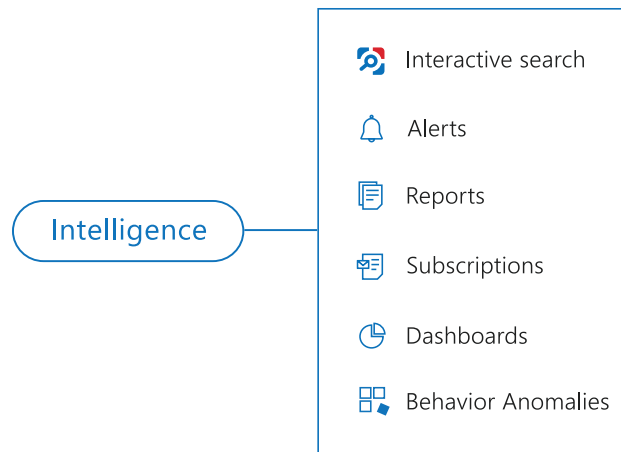
For example, make the following test changes:

- Create a new file/folder in your file share
- Modify a file attribute in your file share

NOTE: Before making any test changes to your environment, ensure that you have the sufficient rights, and that the changes conform to your security policy.

8. See How Netwrix Auditor Enables Complete Visibility

After you have made test changes to your environment, you can see how Netwrix Auditor brings security intelligence into your IT infrastructure and enables complete visibility. Take a closer look at the **Intelligence** section. It contains everything you need to enable complete visibility in your environment.



This chapter explains how to review your test changes with some of the Intelligence options and Activity Summary. Review the following for additional information:

- [Review an Activity Summary](#)
- [Review File Servers Overview](#)
- [Review the All File Server Activity Report](#)
- [Browse Data with Intelligence Search](#)

In order not to wait for a scheduled Activity Summary generation, force data collection and email delivery.

To launch data collection manually

1. Navigate to **Monitoring Plans** and select your plan in the list.
2. Click **Edit**.
3. In the your monitoring plan settings, click **Update** in the right pane.
4. Check your mailbox for an email notification and make sure that the data collection has completed successfully.

8.1. Review an Activity Summary

Activity Summary email is generated automatically by Netwrix Auditor and lists all changes that occurred since the last Activity Summary delivery. By default, an Activity Summary is generated daily at 3:00 AM and delivered to the specified recipients. You can also launch data collection and Activity Summary generation manually.

After the data collection has completed, check your mailbox for an Activity Summary and see how your test changes are reported:

Thu 4/13/2017 4:40 PM
Administrator
Netwrix Auditor: File Servers Activity Summary - File Servers
To: Administrator

Netwrix Auditor for File Servers

Activity Summary

- Added 1
- Add (Failed Attempt) 0
- Removed 0
- Remove (Failed Attempt) 0
- Modified 1
- Modify (Failed Attempt) 0
- Copied 0
- Moved 0
- Move (Failed Attempt) 0
- Renamed 0
- Rename (Failed Attempt) 0
- Read 0
- Read (Failed Attempt) 0

Action	Object type	What	Item	Where	Who	When	Workstation	Details
■ Added	Folder	\\Workstation16\Reports\Employees	Workstation16	Workstation16	CORP\Administrator	4/13/2017 6:39:56 AM	Workstation16	Process: "C:\Windows\explorer.exe" Session ID: "0007dccb-0000-0000-01d2-b39ac7eef7e7"
■ Modified	File	\\Workstation16\Reports\Work_Items.txt	Workstation16	Workstation16	CORP\Administrator	4/13/2017 6:38:46 AM	Workstation16	Object attributes changed from "Archive, Read-only" to "Archive" Process: "C:\Windows\System32\dlhhost.exe" Session ID: "0007dccb-0000-0000-01d2-b39ac7eef7e7"

The example Activity Summary provides the following information:

Column	Description
Action	Shows the type of action that was performed on the object.
Object Type	Shows the type of the object.
What	Shows the name of the changed object or its path.
Item	Shows the item associated with the selected monitoring plan.
Where	Shows the name of the server where the change occurred.
Who	Shows the name of the account under which the change was made.
When	Shows the exact time when the change occurred.
Workstation	Shows the of the computer where the user was logged on when the change was

Column	Description
	made.
Details	Shows the before and after values of the modified object, object attributes, etc.

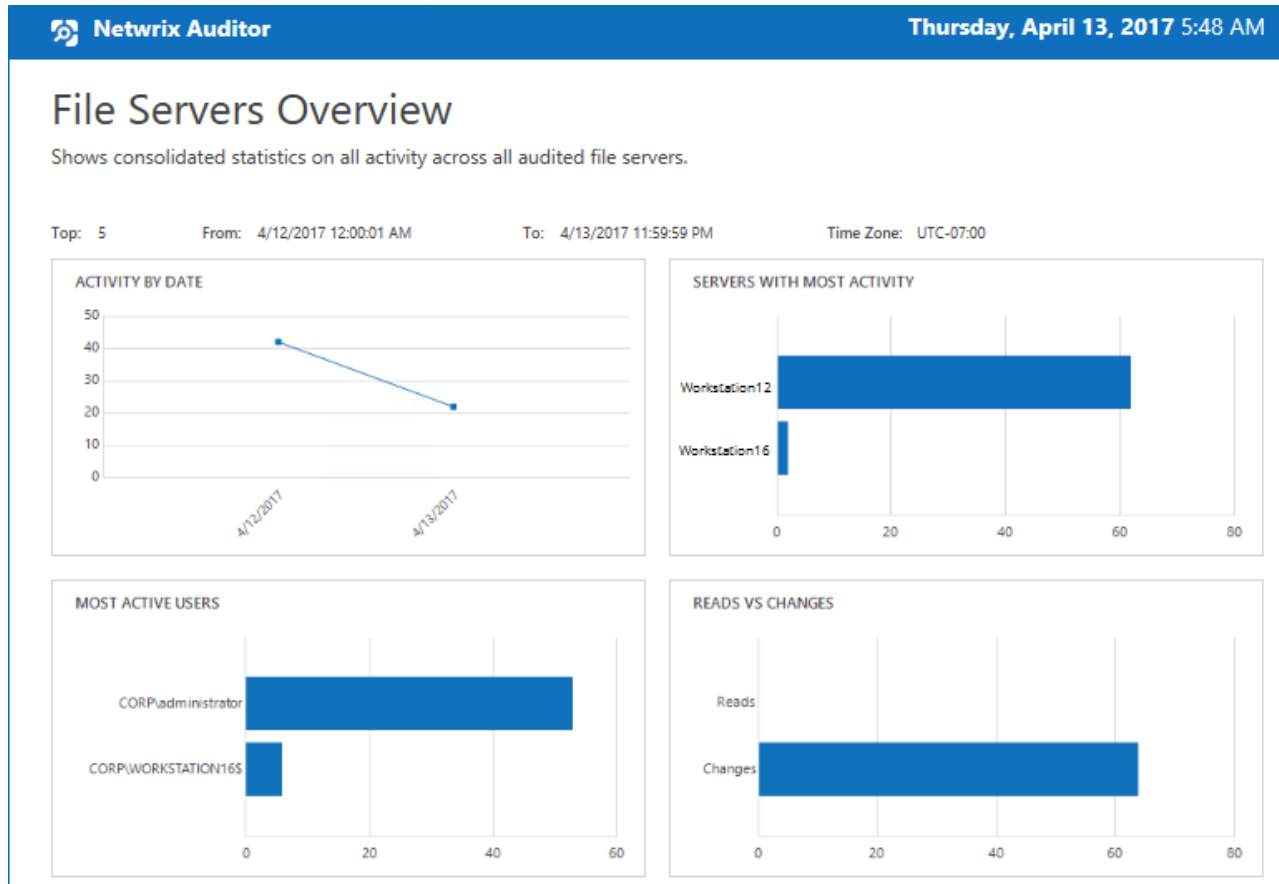
8.2. Review File Servers Overview

Enterprise diagram provides a high-level overview of activity trends by date, user, server, object type or data source in your IT infrastructure. The **Enterprise** diagram aggregates data on all monitoring plans and all data sources, while system-specific diagrams provide quick access to important statistics within one data source.

After collecting initial data, making test changes to your environment and running data collection again, you can get at-a-glance statistics for changes with the **File Servers Overview**.

To see how your changes are reported with File Servers Overview

1. On the main Netwrix Auditor page, navigate to the **Intelligence** section and click the **Reports** tile.
2. Expand the **Predefined** → **File Servers** → **File Servers Activity** reports.
3. Select the **File Servers Overview** report and click **View**.
4. Review your changes.
5. Click on any chart to jump to a table report with the corresponding grouping and filtering of data.



8.3. Review the All File Server Activity Report


The Netwrix Auditor client provides a variety of predefined reports that aggregate data from the entire audited IT infrastructure or individual data sources.

Change and activity reports can be found under the **Reports** → **Predefined** → **File Servers** → **File Servers Activity** and provide a narrower insight into what is going on in the audited infrastructure and help you stay compliant with various standards and regulations (FISMA, HIPAA, PCI, SOX, etc.).

After collecting initial data, making test changes to your environment and running data collection again, you can take advantage of the reports functionality.

To see how your changes are listed in the report

1. On the main Netwrix Auditor page, navigate to **Reports** → **Predefined** → **File Servers** → **File Servers Activity**.
2. Select the **All File Server Activity** report.
3. Click **View** to open the report.

 Netwrix Auditor
Thursday, April 13, 2017 5:51 AM

All File Server Activity

Shows all activity (changes, failed modifications, reads, and failed read attempts) on all audited file servers.

Filter	Value
Action	Object Type
What	Who
When	
Where:	Workstation16
Workstation:	Workstation16
Session ID:	0007dccb-0000-0000-01d2-b39ac7ee7e7
Action	Object Type
What	Who
When	
Where:	Workstation16
Workstation:	Workstation16
Object attributes changed from "Archive, Read-only" to "Archive"	
Process:	C:\Windows\System32\notepad.exe
Session ID:	0007dccb-0000-0000-01d2-b39ac7ee7e7

8.4. Browse Data with Intelligence Search

Netwrix Auditor delivers complete visibility into your IT infrastructure. Its convenient interactive search interface enables you to investigate incidents and browse data collected across the entire IT infrastructure. When running a search, you are not limited to a certain data source, change type, or object name. You can create flexible searches that provide you with precise results on *who* changed *what*, and *when* and *where* each change was made.

After collecting initial data, making test changes to your environment and running data collection again, you can review changes in details with Intelligence search.



To browse your audit data and see you test changes

1. On the main Netwrix Auditor page, navigate to **Intelligence** → **Search**.
2. Add search filters to your search by clicking on a corresponding icon and providing a value. By default, all entries that contain this filter value are shown. For an exact match, use quotation marks.

Filters are used to narrow your search results. To create a unique set of filters, you can:

- Add different filters to your search. Search results will be sorted by all selected filters since they work as a logical conjunction (e.g., **Who: Administrator** AND **Action: Added**).
- Specify several values in the same filter to search for any of them (e.g., **Action: Modified** OR **Action: Removed**). To do this, select a filter again and specify a new value.

For example, consider adding these filters:

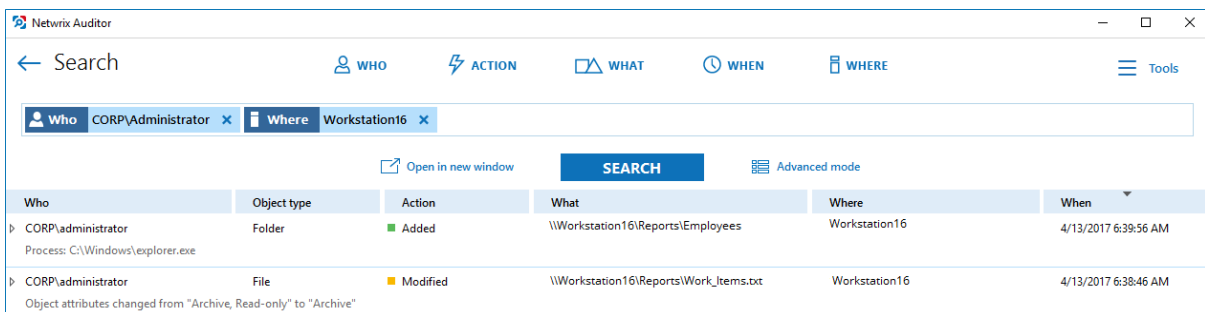
Filter	Value
 WHO	Specify your account name, as you performed test changes.
 WHERE	Specify your file server name.

NOTE: Refer to [Netrix Auditor Intelligence Guide](#) for detailed instructions on how to apply filters and change match types.

As a result, you will see the following filters in the **Search** field:



3. Click **Search**.



4. Now, you can narrow your search and modify it right from the search results pane. Double-click any entry that contains excess data, select **Exclude from search** and specify a filter, e.g., **Action: Added** to leave information on modifications only.

Who	Object type	Action
CORP\administrator Process: C:\Windows\explorer.exe	Folder	Added

Exclude from search		Who: CORP\administrator Object type: Folder Data source: File Servers Monitoring plan: File Servers Item: Workstation16 Workstation: Workstation16 Details: Process: C:\Wind Session ID: 0007d Read more...
Action: Added		What: \\Workstation16\Reports\Employees Where: Workstation16 When: 4/13/2017 6:39:56 AM

Your **Search** field will be updated, the filter will be added. Make sure to click **Search** again to update your search results.

The screenshot shows the Netwrix Auditor search interface. At the top, there are filter tabs for WHO, ACTION, WHAT, WHEN, and WHERE. Below these, a search bar contains the following filters: Who: CORPAdministrator, Where: Workstation16, and Action not: "Added". A "SEARCH" button is visible. Below the search bar, a table displays the search results:

Who	Object type	Action	What	Where	When
CORP\administrator	File	Modified	\\Workstation16\Reports\Work_Items.txt	Workstation16	4/13/2017 6:38:46 AM

Below the table, a note indicates: "Object attributes changed from 'Archive, Read-only' to 'Archive'".

5. Having reviewed your search results, navigate to **Tools**.

- Click **Save as report** to save the selected set of filters. This search will be added to the **Custom** section inside **Reports**, so that you will be able to access it instantly. Refer to [Netwrix Auditor Intelligence Guide](#) for detailed instructions on how to create saved searches.
- Click **Create alert** to get instant email or SMS notifications on suspicious activity that matches your current search criteria. You only need to specify a name for a new alert, add recipient and assign a risk score. The selected set of search criteria will be associated with the new alert automatically. Refer to [Netwrix Auditor Administration Guide](#) for detailed instructions on how to create and configure alerts.

Try making more similar test changes to provoke an alert. For example:

Thu 4/13/2017 6:03 PM

Administrator

Netrix Auditor Alert: Modifications

To Administrator

Netrix Auditor Alert

Modifications

Who: CORP\administrator
Action: Modified
Object type: File
What: [\\Workstation16\Reports\Work Items.txt](#)
When: 4/13/2017 8:00:58 AM
Where: Workstation16
Workstation: Workstation16
Data source: File Servers
Monitoring plan: File Servers
Item: Workstation16 (NetApp)
RID: 2017041315024298099EBAC03D3F0441CBF09E105388AF4CA
Details: Object attributes changed from "Archive" to "Archive, Read-only"
 Process: C:\Windows\System32\dlhhost.exe
 Session ID: 0007dcdb-0000-0000-01d2-b39ac7eef7e7

Once you have received the alert, click the **Behavior Anomalies** tile on the main Netrix Auditor page to see how the product identifies potentially harmful users and displays their risk scores. Drill-down to user profile to review anomalies and mitigate risks. Refer to [Netrix Auditor Intelligence Guide](#) for more information on behavior anomalies and risk scores.

Netrix Auditor - WORKSTATIONSQ
— □ ×

← **User Profile (CORP\administrator)**

[Home](#) > [Behavior Anomalies](#) > User Profile (CORP\administrator)

RISK SCORE TIMELINE From: 9/27/2017 To: 10/6/2017

Alert time	Alert name	Risk score	Status
9/29/2017 7:52:36 AM	Modifications	70	Active

CORP\administrator

Total risk score: 70

[Show user activity](#)

Filters

[Customize view](#)

All filters selected

[Show reviewed anomalies](#)

Actions

[Mark all as reviewed](#)

[Refresh](#)

9. Related Documentation

The table below lists all documents available to support Netwrix Auditor for NetApp:

Document	Description
Netwrix Auditor Online Help Center	Gathers information about Netwrix Auditor from multiple sources and stores it in one place, so you can easily search and access any data you need for your business. Read on for details about the product configuration and administration, its security intelligence features, such as interactive search and alerts, and Integration API capabilities.
Netwrix Auditor Installation and Configuration Guide	Provides detailed instructions on how to install Netwrix Auditor, and explains how to configure your environment for auditing.
Netwrix Auditor Administration Guide	Provides step-by-step instructions on how to configure and use the product.
Netwrix Auditor Intelligence Guide	Provides detailed instructions on how to enable complete visibility with Netwrix Auditor interactive search, report, and alert functionality.
Netwrix Auditor Integration API Guide	Provides step-by-step instructions on how to leverage Netwrix Auditor audit data with on-premises and cloud auditing solutions using RESTful API.
Netwrix Auditor Release Notes	Lists the known issues that customers may experience with Netwrix Auditor 9.6, and suggests workarounds for these issues.